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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Yoshiyuki YONEDA et al.

Attention: Applications Division

Serial Number: 09/442,038

Group Art Unit: 2814

Filed: November 17, 1999

P.T.O. Confirmation No.: 5343

For: **DEVICE HAVING RESIN PACKAGE AND METHOD OF PRODUCING THE SAME**

LETTER

Commissioner for Patents  
Washington, D.C. 20231

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NOV 29 2002  
TECHNOLOGY CENTER 2800  
November 26, 2002

Sir:

Further to a telephone conference with Examiner Nathan W. Ha on November 26, 2002, and pursuant to his request, attached herewith is the Claim for Priority under 35 U.S.C. 119 filed in parent Serial No. 08/744,048 on February 14, 1997; Utility Patent Application Transmittal, along with Declaration filed in the Divisional Application Serial No. 09/442,038; Official Filing Receipt; Request for Corrected Filing Receipt filed on October 15, 2002; and copy of Response to Request for Corrected Filing Receipt dated October 28, 2002 received from the U.S. Patent and Trademark Office.

Also, enclosed herewith is a copy of the return-receipt card with the U.S. PTO date stamped of February 14, 1997, indicating that in fact the above-identified Claim for Priority under 35 U.S. C. 119, along with certified priority document No. 8-25-0707 filed September 20, 1996 was received by the U.S. Patent and Trademark Office.

It is respectfully requested that the Examiner indicate his receipt and approval of such Claim

If for any reason, the Examiner feels the application is not now in condition for allowance, it is respectfully requested that the Examiner contact, by telephone, the applicants' undersigned attorney at the indicated telephone number to arrange for an interview to expedite the disposition of this application.

In the event that this paper is not timely filed, applicants respectfully petition for an appropriate extension of time. The fees for such an extension or any other fees which may be due with respect to this paper, may be charged to Deposit Account No. 01-2340

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP



William L. Brooks  
Attorney for Applicants  
Reg. No. 34,129

WLB/lrj

Atty. Docket No. **010717**  
Suite 1000, 1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930

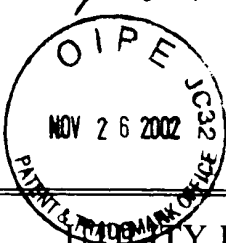


**23850**

PATENT TRADEMARK OFFICE

Attachments:

- (1) Utility Patent Application Transmittal, along with Declaration from parent appln. 09/744,048
- (2) Official Filing Receipt from Divisional appln. 09/442,038
- (3) Corrected Filing Receipt from Divisional appln. 09/442,038
- (4) Response to Request for Corrected Filing Receipt dated 10/28/02
- (5) Date-Stamped Post Card of 2/14/97 from parent appln. 09/744,048



**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

960942A

Total Pages

First Named Inventor or Application Identifier

Yoshiyuki YONEDA et al.

Express Mail Label No.

PAGE 1 OF 3

Check Box, if applicable [ ] Duplicate

**APPLICATION ELEMENTS FOR:**

**DEVICE HAVING RESIN PACKAGE AND METHOD OF  
PRODUCING SAME**

**ADDRESS TO:** Assistant Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [98]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [97]
4. ☒ Oath or Declaration Total Pages [3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

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**ACCOMPANYING APPLICATION PARTS**

8. ☐ Accompanying Diagrams (if applicable, all necessary)

Form 100 (Rev. 10/98) Patent & Trademark Office, U.S. Department of Commerce



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

960942A

First Named Inventor or Application Identifier

Yoshiyuki YONEDA et al.

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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Japan application no. 7-290135 filed on November 8, 1995, of Japan application no. 8-183838 filed on July 12, 1996, of Japan application no. 7-322803 filed on December 12, 1995, of Japan application no. 8-250707 filed on September 20, 1996 and of Japan application no. 8-267607 filed on October 8, 1996 are claimed under 35 USC 119. The certified copies have been filed in prior application Serial No. 08/744,048 (For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 08/744,048
- a. ☒ Please amend the specification by inserting after the title: --This application is a divisional of prior application Serial No. 08/744,048 filed November 6, 1996.--.
- b. ☒ Cancel in this application original claims 1, 3, 4, 6, 14, 16, 17, 19, 23-26 and 42-43 of the prior application before calculating the filing fee.

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FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$760.00
Total Claims	36- 20	16	x \$18.00	288.00
Independent Claims	5 - 3	2	x \$78.00	156.00
Multiple Dependent Claims			\$260.00	
Basic Filing Fee				\$1204.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	



UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

960942A

First Named Inventor or Application Identifier

Yoshiyuki YONEDA et al.

PAGE 3 OF 3

☒ [X] A check in the amount of \$ 1204.00 is enclosed to cover the filing fee.

☐ [ ] Please charge our Deposit Account No. **01-2340** in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.

☒ [X] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS

ARMSTRONG, WESTERMAN, HATTORI  
McLELAND & NAUGHTON  
1725 K Street, N.W. Suite 1000  
Washington, D.C. 20006  
Telephone: (202) 659-2930  
Facsimile: (202) 887-0357

SUBMITTED BY

Typed or Printed Name William L. Brooks

Reg. No. 34,129

Signature

Date: November 17, 1999

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PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: YONEDA, et al.

Attention: Applications Division

Serial Number: 09/442,038

Group Art Unit: 2814

Filed: November 17, 1999

P.T.O. Confirmation No.: 5343

For: **DEVICE HAVING RESIN PACKAGE AND METHOD OF PRODUCING THE SAME**

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

October 15, 2002

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the **Attorney docket number** is incorrect and should be -- 960942A--; and foreign application information is missing and should be -- JAPAN 8-250707 09/20/1996--. Copies of the declaration and transmittal form filed November 17, 1999 are enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

*(Signature)*  
William L. Brooks  
Attorney for Applicants  
Reg. No. 34,129

WLB/anp

Atty. Docket No. 960942A  
Suite 1000, 1725 K Street, N.W.  
Washington, D.C. 20006  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/442,038	11/17/1999	2814	3136	[360942A]	97	36	5

CONFIRMATION NO. 5343

## CORRECTED FILING RECEIPT



\*OC00000008820769\*

23850

 ARMSTRONG, WESTERMAN & HATTORI, LLP  
 1725 K STREET, NW.  
 SUITE 1000  
 WASHINGTON, DC 20006

Date Mailed: 09/19/2002

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 YOSHIYUKI YONEDA, KANAGAWA, JAPAN;  
 KAZUTO TSUJI, KAWASAKI-SHI, JAPAN;  
 SEIICHI ORIMO, KAWASAKI-SHI, JAPAN;  
 HIDEHARU SAKODA, KAWASAKI-SHI, JAPAN;  
 RYUJI NOMOTO, KAWASAKI-SHI, JAPAN;  
 MASANORI ONODERA, KAWASAKI-SHI, JAPAN;  
 JUNICHI KASAI, KAWASAKI-SHI, JAPAN;

Domestic Priority data as claimed by applicant

## Foreign Applications

 JAPAN 7-290135 11/08/1995  
 JAPAN 8-183838 07/12/1996  
 JAPAN 7-322803 12/12/1995  
 JAPAN 8-267607 10/08/1996

JAPAN 8-250707 07/12/1996

If Required, Foreign Filing License Granted 12/23/1999

CPA filed on: 09/03/2002

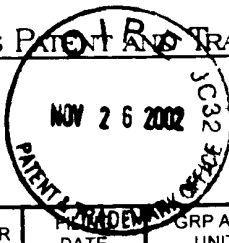
Corrected Application Data

Non-Publication Request: No

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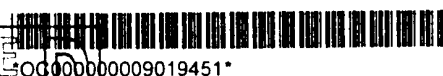
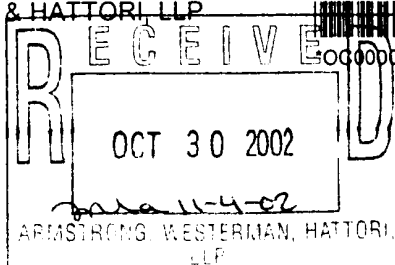
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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/442,038	11/17/1999	2814	3136	960942A	97	36	5

CONFIRMATION NO. 5343

23850  
ARMSTRONG, WESTERMAN & HATTORI, LLP  
1725 K STREET, NW.  
SUITE 1000  
WASHINGTON, DC 20006

## CORRECTED FILING RECEIPT



Date Mailed: 10/28/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Domestic Continuity and Foreign Priority

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☐ Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic benefit and foreign priority claims will not be captured in a provisional application.
- ☐ A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**

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- ☒ The application(s) to which priority is claimed were filed over a year prior to the filing date of this application. Therefore, the referenced application(s) cannot be claimed as domestic or foreign priority.

- ☐ To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.

- ☐ To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.

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Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202